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# SECURITY OF ENCRYPTED CLOUD DATABASE

Existing cipher method provides either security or productivity, but not both of these parameters. Most of schemes even show the order of encrypted sequence, which allow the detractors to accurately evaluate clear. This work represents  $\hat{R}$  as the tree, hierarchical encrypted index, which can be reliably put into a cloud and effectively falsified. It is based on mechanism, developed for encrypted queries, using Asymmetric scalar product preserving encryption (ASPE).

Keywords: query encryption, database encryption, cloud computing, hierarchical index.

## Introduction

This article represents  $\hat{R}$ -tree, hierarchical encrypted index, which can be reliably put into a cloud and effectively falsified. It is based on mechanism, developed for encrypted queries of half-space range in  $R^d$ , using Asymmetric scalar product preserving encryption (ASPE). Data owners can configure parameters of  $\hat{R}$ -tree to achieve required security and productivity. Also we represent experiments in performance assessment of  $\hat{R}$ -tree. Our results show, that queries of  $\hat{R}$ -trees are performed in encrypted databases and show much less information than competing methods.

## Problem state

Term «cloud computing» is related to a wide range of services outsourced for storage and computing [1]. This model becomes more and more popular, because users have practically unlimited resources, but more significantly, they are exempt from the burden of managing these resources. That is why large database outsourcing became a well-studied topic.

However, this model has costs. Outsource data should be encrypted to preserve confidentiality and integrity, but encryption aggravates query execution. Standard encryption schemes, such as encryption units, do not directly support collation comparison, search and other manipulations, required for processing queries without loss of confidentiality. Therefore, new encryption schemes were proposed [2–5] to facilitate queries on encrypted data.



Fig. 1. Scheme of the search model based on  $\hat{R}$  -tree

Security and efficiency are important considerations when developing such encryption schemes. Some schemes [2; 4] achieve productivity by detecting the relative order of the encrypted data points, but the detractor can use information for data reorganization, using order statistics [6].

Query schemes based on predicate-based encryption (PRE) [4; 7–9] provide reliable protection for encryption, but with high computational costs. The costs in these schemes significantly increase with the range of queries or required accuracy.

Some leakage of data on the order is probably unavoidable, but the task is to minimize such leakage. For example, bouquet schemes [3] provide an exchange between ordering of the data leakage about the order and productivity.

## 1. Research objective

Innovative method for performing encrypted queries of the half-space range in  $R^d$  by points, encrypted with ASPE. This mechanism can provide multifaceted requests for encrypted data. Using this mechanism, we represent  $\hat{R}$ -tree, indexing scheme for encrypted and outsourced databases.  $\hat{R}$ -tree uses ASPE to encrypt query ranges. The data can be encrypted in any other way.  $\hat{R}$ tree is a hierarchical scheme of , but unlike the current bouquet schemes, encrypted indexes of  $\hat{R}$ -tree are stored and are fully requested in the cloud, but not on the site of database owner.  $\hat{R}$ -trees gives us an opportunity to transfer data to data management more effective.

## 2. ASPE Asymmetric encryption

In [10] Asymmetric scalar product preserving encryption (ASPE) for kNN queries execution in encrypted data points in  $\mathbb{R}^d$  was proposed. Encryption uses  $(d+1)\times(d+1)$  as a secret key M invertible matrix. Data and queries are encrypted in different ways, which is reflected in our notations.

$$\begin{split} \text{Point}\_\text{Enc}\big(P,M\big) &\to \langle P \rangle \text{ . This function accepts} \\ \text{data point } P \in R^d \text{ and } (d+1) \times (d+1) \text{ of key matrix } M \\ \text{and outputs the encrypted text } \langle P \rangle \text{ from } P \text{ . Firstly it} \\ \text{creates} \quad a \quad \text{point} \quad P_+ \in R^{d+1}, \quad \text{when} \\ P_+ &= (P^T \mid (-0.5 \parallel P \parallel^2))^T \text{ is Euclidian norm } P \text{ . Encryption } P \text{ is equal to } P = M^T P_+ \text{ .} \end{split}$$

Query\_Enc $(Q, M^{-1}) \rightarrow [Q]$ . This function takes a request point  $Q \in \mathbb{R}^d$  and  $M^{-1}$ , inverse of the key matrix M. It produces [Q], encrypted text Q. Firstly it creates point  $Q_+ \in \mathbb{R}^{d+1}$ , that  $P_+ = (\mathbb{P}^T | (-0.5 || \mathbb{P} ||^2))^T$ , where r is a random positive number. Encrypted point  $[Q] = M^{-1}Q_+$ .

Dist\_Comp( $\langle P \rangle, \langle P' \rangle, [Q] \rangle \rightarrow \{0,1\}$ . This function takes two encrypted data points  $\langle P \rangle, \langle P' \rangle$ , encrypted query point [Q] returns 1, if P is closer to Q, than P'. It outputs a logic value ( $\langle P \rangle - \langle P' \rangle$ )  $\cdot [Q] > 0$ . Now,

$$\begin{aligned} (\langle P \rangle - \langle P' \rangle) \cdot [Q] &= (\langle P \rangle - \langle P' \rangle)^{T} [Q] = \\ &= (M^{T} (P_{+} - P'_{+}))^{T} M^{-1} Q_{+} = \\ &= (P_{+} - P'_{+})^{T} Q_{+} = \\ &= (P - P')^{T} (rQ) + r(-0.5 \parallel P \parallel^{2} + 0.5 \parallel P' \parallel^{2}) = \\ &= 0.5 r(\parallel P' - Q \parallel^{2} - \parallel P - Q \parallel^{2}), \end{aligned}$$

where ||P-Q|| is Euclidean distance between P and Q. This expression is positive, if P is closer to Q, than P'. Request kNN identifies k of the closest points by comparing the distance from the query point Q to each database point P.

#### 2.1. Queries of the half-space range

The half-space Range Queries of (hRQ) are a fundamental problem in computational geometry, as any search form for algebraical range can be transformed into it. If  $\mathbf{a} \in \mathbf{R}^d$ ,  $\mathbf{a} \neq 0$  and  $\mathbf{b} \in \mathbf{R}$ , then hyperplane H is determined by the set  $\mathbf{x} \in \mathbf{R}^d$  that  $\mathbf{a}^T \mathbf{x} = \mathbf{b}$ . H splits  $\mathbf{R}^d$  for inner half-space H<sup>≤</sup>, corresponding to  $\mathbf{a}^T \mathbf{x} \le \mathbf{b}$  and external half-space H<sup>></sup>, corresponding to  $\mathbf{a}^T \mathbf{x} > \mathbf{b}$ . Each  $S \in \mathbf{R}^d$  is splitted by H into two disjoint subsets  $S_H^{\leq} = S \cap H^{\leq}$  and  $S_H^{>} = S \cap H^{>}$ .

Considering the set of points  $S = \{P_1, P_2, ..., P_n\}$ and hyperplane H in  $\mathbf{R}^d$ , a query of the half-range requests  $S_H^{\leq}$ .

## 2.2. Order Statistics

Order statistics is an important tool in nonparametric statistics [6]. Let  $X_1, X_2, ..., X_n$  be random variables with density and distribution functions f(x) and F(x)correspondently. Let  $X_i$  sorting, in order to get  $X_{(1)} \le X_{(2)} \le ... \le X_{(n)}$ . Now  $X_k$  is the statistics of k order. It can be shown that the density function  $X_k$  is provided by the formula:

$$f_{X_{(k)}(x)} = {\binom{n}{l}} {\binom{n-1}{k-1}} f(x) [F(x)]^{k-1} [1-F(x)]^{n-k} . (1)$$

## 2.3. Review

Now we will present our system and security model and provide the overview for R-tree. Our approach, in contrast to [10], uses index to speed up the queries. In addition, we separate the encryption of data points from query data and index.

#### 2.3.1. System model

Our model recognizes two objects: the data owner and the provider of cloud services (fig. 2). The data owner places the encrypted data and the corresponding index of R -tree in cloud, which provides an infrastructure for computing and storage. The data owner creates and sends encrypted requests for ranges of interest to the cloud. The cloud performs encrypted queries in the index of R - tree and returns the query results to the data owner.



# Fig 2. R -tree and $\hat{R}$ -tree

The data owner creates  $\hat{R}$ -tree, first creating a regular R -tree for a given set of points  $S \in \mathbf{R}^d$ . Ranges MBR are encrypted using ASPE to get  $\hat{R}$ -tree. Parentchild relationship in  $\hat{R}$ -tree is not encrypted. Although MBR ranges of R-tree are encrypted using ASPE for range requests support, data points in S can be independently encrypted with other encoding schemes, such as block encryption. d-dimensional range R can be defined by its two extremal vertices. R-tree in fig. 2  $R_1 = (V_1, V_2)$ , contains nodes with MBR  $R_2 = (V_1, V_2)$  and  $R_3 = (V_1, V_2)$ . Data set, containing in  $R_2$  and  $R_3$ , represents  $S_2$  and  $S_3$  correspondently.

MBR ranges in  $\hat{R}$  -trees are encrypted by applying ASPE to each extremal vertex, used to determine the range. As shown in fig. 2, correspondent  $\hat{R}$  -tree con-

tains three nodes  $[R_1] = ([V_1], [V_2]),$  $[R_2] = ([V_4], [V_2]), [R_3] = ([V_1], [V_3]).$ 

The data points inside each MBR leaf are encrypted using regular encryption scheme. Encrypted versions of  $S_2$  and  $S_3$  are  $\tilde{S}_2$  and  $\tilde{S}_3$ .

The data owner creates encrypted range requests and sends them to the cloud. The cloud searches  $\hat{R}$ -tree, performing intersection tests in stages, as in regular R tree, and moves to child nodes only in case, when the bounding box of the node crosses the range of queries. The cloud thereby receives all the layers that cross the range of queries and returns the encrypted data points on these leafs to the data owner.

The cloud cannot query namely data points, because they are separately encrypted.

 $\hat{R}$ -tree can enter false positives in the query results, but protects order information within each leafs MBR is a reasonable compromise. Exact query schemes [4; 8], which return exactly the set of encrypted tuples in the query range, can leak information over time. Given the sufficient results of the query range, the enemy can restore the ordering of tuples from the joins and intersections of these result clusters.

### 2.3.2. Security Model

We accept an "honest, but curious" model for our detractor, the cloud server. Its purpose is to study open texts for encrypted data. It can contain some knowledge about the outsourcing dataset and try to use this knowledge to get the values of points in the dataset. Otherwise, it scrupulously monitors the protocol defined by the data owner, and returns the correct query results.

ASPE, which we use to encrypt ranges of indexes and queries, is protected from known attacks with plaintext [10]. However, the detractor can create more difficult attacks. For example, it can get some information about ordering encrypted data values when processing requests. The detractor may know the distribution of the plaintext values of all data points and the values of some data points. He will try to evaluate the values of other data points using this information.

Let's start with assumption that the detractor knows the order of the encrypted data points. Some encryption schemes, such as [2; 4], clearly show this order. In other cases, it may be possible to derive this ordering from time to time from queries. It is also often possible to obtain data value distributions from either public sources or by examining other available and similar data sets.

Using such knowledge of distributions and ordering, the opponent can use the order statistics methods to estimate the values of plaintext for encrypted tuples. For one-dimensional data, let's say that the enemy is studying the values of plain text m of  $y_{i1} < y_{i2} < ... < y_{im}$  data points. It uses these points as end points to obtain ranges m-1 [ $y_{i1}, y_{i2}$ ],...,[ $y_{im-1}, y_{im}$ ]. It knows the

order of encrypted tuples in each range and can now get better estimates of the plaintext values of the encrypted tuples in each range using order statistics. For multidimensional data, the enemy can perform the same attack to better estimate the values of the encrypted tuples for each dimension.

 $\hat{R}$ -trees do not disclose the full order of data points, but contain information on the leakage of information on the ordering of leaf MBR. We will study the effectiveness of the attacks described above on  $\hat{R}$ -trees.

# 2.3.3 Request of half-space range for encrypted data

Requests in [10] ask, which encrypted data points in  $\mathbf{R}^d$  is the closest to the specified encrypted request point. Their method converts each data point into a  $\mathbf{R}^d$ to the point in  $\mathbf{R}^{d+1}$ , additional dimension, encoding the distance of a point from the origin. However, the request points are not required to transmit such distance information. Our approach to encrypted half-space queries (EhQ) is dual to this method and must check which of the two query points is closer to the vertex in MBR of  $\hat{R}$ -tree. Therefore, the query points are embedded with the distance information in our scheme, whereas the points corresponding to the MBR vertices are not present.

We construct queries on the half-space, as in fig. 3. For a hyperplane H and the corresponding half-spaces  $H^{\leq}$  and  $H^{>}$  we choose reference points  $\omega^{\leq} \in H^{\leq}$  and  $\omega^{>} \in H^{>}$ , equidistant from H, such, that the segment  $(\omega^{>}, \omega^{\leq})$  is orthogonal to H. Each point on H is now equidistant from  $\omega^{\leq}$  and  $\omega^{>}$ , but points in  $H^{\leq}$  are closer to  $\omega^{\leq}$ , and points in  $H^{>}$  are closer to  $\omega^{>}$ . We can check if the given point is located in V,  $H^{\leq}$  or in  $H^{>}$ , proving, if V is closer to  $\omega^{\leq}$  or to  $\omega^{>}$ , as in ASPE.

## 2.3.4 Index search as the intersection of hyperintersections

Search of  $\hat{R}$ -trees require to know, in order to determine, if hyperrectangle intersects d-dimensional query hyperrectangle index in the node of  $\hat{R}$ -tree. We make the usual assumptions that the coordinate axis is orthogonal and that each face of the hyperrectangle is orthogonal to some axis.



Fig. 3. Query of a half-space range

Our method is based on the observation that ddimensional hyperrectangle of Q query can be defined as a space, enclosed by hyperplanes  $H_1, H_2, ..., H_{2d}$ , determined by its 2d borders. We accept the agreement that the scope of the request is defined in  $H_i^{\leq}$  for each i. That is, the hyperplanes are so precise that the points of interest x satisfy the condition  $a_i^T x \leq b_i$ . In these conditions we will have  $Q = H_1^{\leq} \cap H_2^{\leq} \cap ... \cap H_{2d}^{\leq}$ . Fig. 4 shows a two-dimensional query box, specified by four half-space range queries, or eight anchor points. Halfspaces  $H_i^{\leq}$  and  $H_i^{>}$  are defined by two reference points  $\omega_i^{\leq}$  and  $\omega_i^{>}$ . Choose  $\omega_i^{\leq}$  randomly in  $H_i^{\leq} - H_i \cdot \omega_i^{>}$ will be its reflection in the hyperplane  $H_i$ . We indicate each index of a hyper-rectangle  $R \subseteq \mathbf{R}^d$  in the node of  $\hat{R}$ -tree with its two peaks, as shown in fig. 2.

On the fig. 4 three cases of two-dimensional rectangular intersections are shown. Clearly, we cannot test the intersection of rectangles simply by verifying whether one vertex is included in the other. Instead, we need to check if the vertices in the corresponding halfspaces are defined by the faces of the query Q.



Fig. 4. Straight Rectangle ABCD

#### 3.3. Our scheme

Our approach to EhQ work in the following way. In order to encrypt a request  $Q = H_1^{\leq} \cap H_2^{\leq} \cap \ldots \cap H_{2d}^{\leq}$ , we create anchors  $\omega_i^{\leq}$  and  $\omega_i^{>}$  for each hyperplane  $H_i$ . Then we generate an encrypted discriminator  $\Delta_{H_i}$  for each  $H_i$ . Using  $\Delta_{H_i}$ , by encryption we can determine, if this encrypted point V is present in  $H_i^{\leq}$  or in  $H_i^{>}$ .

**3.3.1.** Algorithms for encrypting the range of vertices and queries

Our method uses the following algorithms.

Enc\_Vertex $(V,M) \rightarrow [V]$ . The data owner uses this algorithm to encrypt the vertex V of MBR node of  $\hat{R}$ -tree, using its secret key M, an invertible matrix  $(d+1) \times (d+1)$ .



At the set vertex  $V = (v_1, v_2, ..., v_d)^T$  the algorithm first adds an additional dimension, in order to create  $V_+ = (V^T | 1)^T$ . V is encrypted to  $[V] = r_1 M^{-1} V_+$ , where  $r_1$  is a random positive number.

Gen\_Anchor(H)  $\rightarrow (\omega_i^{\leq}, \omega_i^{>})$ . This algorithm takes a given hyperplane H, defined by parameters a and b and outputs reference points  $\omega_i^{\leq}$  and  $\omega_i^{>}$ , located on H<sup> $\leq$ </sup> and H<sup>></sup> correspondently. It randomly chooses point  $\omega_i^{\leq} \in H^{\leq} - H$  and calculates  $\omega^{>}$  as reflected in H in the following way. If  $\omega^{\leq}$  and  $\omega^{>}$  are vectors, representing  $\omega^{\leq}$  and  $\omega^{>}$  correspondently, we require, their vector difference  $\omega^{\leq} - \omega^{>}$  was orthogonal to H. From linear algebra we know that vector **a** orthogonal to H. Let  $\mathbf{a}^T \omega^{\leq} - \mathbf{b} = \delta$ . We have  $\mathbf{a}^T \omega^{>} - \mathbf{b} = -\delta$ ,  $\mathbf{a}^T (\omega^{\leq} - \omega^{>}) = 2\delta$ . As we know **a** and  $\omega^{\leq}$ , we can get  $\omega^{>} = \omega^{\leq} - \frac{2\delta}{\parallel \mathbf{a} \parallel^2} \mathbf{a}$ .

Gen\_Discr( $\omega^{\leq}, \omega^{>}$ )  $\rightarrow \Delta_{H}$ . This algorithm receives reference points  $\omega^{\leq} = (\omega_{1}^{\leq}, \omega_{2}^{\leq}, ..., \omega_{d}^{\leq})^{T}$  and  $\omega^{>} = (\omega_{1}^{>}, \omega_{2}^{>}, ..., \omega_{d}^{>})^{T}$ , corresponding hyperplanes H, and outputs discriminator  $\Delta_{H}$ . First, it adds information about the distance to the reference points to get  $\omega_{+}^{\leq} = ((\omega^{\leq})^{T} \mid (-0.5 \parallel \omega^{\leq} \parallel^{2}))^{T}$  and  $\omega_{+}^{>} = ((\omega^{>})^{T} \mid (-0.5 \parallel \omega^{>} \parallel^{2}))^{T}$ . Further  $\omega_{+}^{\leq}$  and  $\omega_{+}^{>}$  are encrypted using M as  $\langle \omega^{\leq} \rangle = M^{T} \omega_{+}^{\leq}$  and  $\langle \omega^{>} \rangle = M^{T} \omega_{+}^{>}$ . Finally, the algorithm chooses a random positive value  $r_{2}$  and generates an encrypted discriminator  $\Delta_{H} = r_{2}(\langle \omega^{\leq} \rangle - \langle \omega^{>} \rangle)$ .

# 3.3.2. Halfspace range queries in encrypted MBR vertices

The cloud performs an encrypted half-space range query in the encrypted MBR vertices using the following algorithms. Halfspace\_Qry([V], $\Delta_{\rm H}$ )  $\rightarrow V_{\rm H}^{\leq}$ . This function takes a set of encrypted vertices MBR [V] and hyperplane discriminator  $\Delta_{\rm H}$  and shows a dataset  $V_{\rm H}^{\leq} = V \cap {\rm H}^{\leq}$ .

It works by calling the following function to test each point in [V].

$$\begin{split} & \text{In\_Halfspace}([V], \Delta_H) \to \{0, l\} \text{ . The function accepts encrypted point } [V], \text{ discriminator } \Delta_H \text{ and outputs } a \text{ bit indicating whether } V \in H^\leq \text{ calculates } \Delta_H \cdot [V] \text{ . As} \end{split}$$

$$\begin{split} \Delta_{H} \cdot [V] &= r_{l} r_{2} (\langle \omega^{\leq} \rangle - \langle \omega^{>} \rangle) \cdot [V] = \\ &= r_{l} r_{2} ((M^{T} \omega_{+}^{\leq}) - (M^{T} \omega_{+}^{>})^{T} M^{-1} V_{+} = \\ &= r_{l} r_{2} (\omega_{+}^{\leq} - \omega_{+}^{>})^{T} V_{+} = \\ &= r_{l} r_{2} (\| \omega_{+}^{\leq} - V \|^{2} - \| \omega_{+}^{>} - V \|^{2})^{T} V, \end{split}$$

 $\Delta_{\rm H} \cdot [V] \ge 0$ , if V is located in  ${\rm H}^{\le}$ . Function outputs 1,

if V is located in  $H^{\leq}$  and 0 otherwise.

## 3.3.3 Intersection of a hyperrectangle

We show how to determine the intersections between encrypted d-dimensional query and hyperrectangles of the index based on queries of the halfspace range. We require that each surface of the hyperrectangle is orthogonal to the coordinate axis. That is, each face is a hyperplane  $H_i = (x_1,...,x_{i-1},c_i,x_{i+1},...,x_d)$ , where  $c_i$  is constant, but  $x_i$  are not limited. This restriction is necessary, since intersection tests using half-space queries may not work on common polyhedra, as we shall see.

Hyperrectangle index  $R \subset \mathbf{R}^d$  now it is completely determined by its extreme vertices  $V_{\perp}, V_{\top} \in \mathbb{R}^d$ , defined as follows. If  $V = (v_1, v_2, ..., v_d)$  is the vertex R, then we define  $V_{\perp} = (\min\{v_1\}, ..., \min\{v_d\})$ ,  $V_{\top} = (\max\{v_1\}, ..., \max\{v_d\})$ , where min and max are taken over all the vertices V from R.

However, the hyper-rectangle of the query is given in terms of half-spaces defined by its faces, since  $Q = H_1^{\leq} \cap H_2^{\leq} \cap \ldots \cap H_{2d}^{\leq}$ .

The hyperrectangle of the index is encrypted as follows. Enc\_Index  $(R, M) \rightarrow [R]$ . Taking into account hyperrectangle of index  $R = (V_{\perp}, V_{\top})$  and key matrix M this algorithm outputs encryption R as  $[R] = ([V_{\perp}], [V_{\top}]), [V_{\perp}] = Enc_Vertex(V_{\perp}, M)$  and  $[V_{\top}] = Enc_Vertex(V_{\top}, M)$ .

Enc\_Query(Q,M)  $\rightarrow$  Q. This algorithm takes a key matrix M and the query area Q, given as the intersection of half-spaces  $H_1^{\leq} \cap H_2^{\leq} \cap ... \cap H_{2d}^{\leq}$ . For

each  $H_i$  it firstly invokes Gen\_Anchor( $H_i$ ), in order to get  $\omega_i^{\leq}$  and  $\omega_i^{>}$ . Then it gets  $\Delta_{H_i}$ , invoking Gen\_Discr ( $\omega_i^{\leq}, \omega_i^{>}$ ). It returns the area of encrypted request  $\langle Q \rangle = (\Delta_{H_1}, \Delta_{H_2}, ..., \Delta_{H_{2d}})$ .

$$\begin{split} &Xsect\_Index([R],Q) \to \{0,1\}. \mbox{ This function accepts hyperrectangles of encrypted query and index. It outputs logic value, indicating, whether the hyperrectangles intersect. If both <math display="inline">V_{\perp}$$
 and  $V_{\top}$  are defined as lying outside  $H_i^\leq$  for some  $H_i$ , otherwise algorithm returns 0 and 1 (look Algorithm 1). \end{split}

Algorithm 1. Xsect \_ Index

**input**: 
$$[R] = ([V_{\perp}], [V_{\perp}]), \langle Q \rangle = (\Delta_{H_1}, ..., \Delta_{H_{2d}})$$

output: {0,1}

1 for each  $\Delta_{H_1} \in \langle Q \rangle$  do

2 if not In\_Halfspace( $[V_{\perp}], \Delta_{H_1}$ ), and not In\_Halfspace( $[V_{\top}], \Delta_{H_1}$ )

then

return 0

4 end

5 end

6 return 1

## 3.3.4. Polyhedral query domains

Our scheme can handle arbitrary convex polyhedral query areas, but can introduce false positives. The two cases shown in fig. 6, cannot be distinguished.



Fig. 6. Half-space queries using a polyhedral domain

Both cases return the same results if we run halfspace queries for vertices of the hyper-rectangle index, using  $H_i^{\leq}$ , defining the region of triangular queries. However, our scheme is safe for convex polyhedral domains of the query, since it does not introduce false negations in accordance with Theorem 1.

**Theorem 1.** Xsect\_Index( $[R],\langle Q \rangle$ ) runs 0, if the convex domain of a polyhedral query Q and the range of indices R do not intersect.

 $V_{\top} = (\max\{v_1\}, ..., \max\{v_d\})$ , its extreme vertices. If in

Algorithm 2. Construction of  $\hat{R}$  -tree

$$\begin{split} & \text{In\_Halfspace}([V_{\bot}], \Delta_{H_1}) & \text{and} \\ & \text{In\_Halfspace}([V_{\top}], \Delta_{H_1}) & \text{development 0 for a halfspace} \\ & \text{space query } \Delta_{H_1} \in \langle Q \rangle \text{, then neither } V_{\bot} \text{ nor } V_{\bot} \text{ are} \\ & \text{not located in } H^{\leq} \text{. Since } V_{\bot} \text{ and } V_{\bot} \text{ have, correspondently, the smallest and largest projection along the axis} \\ & \text{i, then any } 2^d \text{-vertices in } R \text{ cannot be in } H^{\leq} \text{. It is clear, that } Q \text{ and } R \text{ do not intersect.} \end{split}$$

# 3.3.5. Construction and query of $\hat{\boldsymbol{R}}$ -tree

Tree  $\hat{R}$  can be considered as R-tree, which MBR are encrypted, but the relationship of parents and children - not. Its data points are encrypted separately. These encrypted data points, encrypted indexes of  $\hat{R}$  tree and the parent-child relationship are placed to the cloud. We check the overlap between encrypted query ranges and encrypted MBR trees using a new mechanism Encrypted Half-space Query (EhQ).

Our approach EhQ is safe and effective and can be used for search under the encryption of other complex data structures, such as BNL-trees and kD -trees. We decided to set up our index of  $\hat{R}$  -tree on R -tree, because family of R -trees has more lower information lack, then bouquet schemes, k-tools, BNL-tree, kD tree and etc., as shown in [3].

 $\hat{R}$ -tree constructed as in the algorithm 2. Let S and  $\tilde{S}$  denote encrypted versions of the data set. For MBR leaf let SR means data points falling into R, and  $\tilde{S}_R$  denote encrypted texts  $S_R$ . Let T and  $\hat{T}$  denote R-tree and correspondent  $\hat{R}$ -tree correspondently. Let **PC** indicates a set of parent-child relations in T.

The following function, developed in Algorithm 3, finds all the leaves of a tree  $\hat{R}$ , which intersect the specified range request.

 $\hat{\mathbf{R}}$  - tree Qry  $(\mathbf{Q}, \hat{\mathbf{T}}) \rightarrow \mathbf{L}$  This function accepts

the input query  $\langle Q \rangle$  of encrypted range of  $\hat{R}$  -tree T. Its output is a set of encrypted L leafs, which MBR intersect  $\langle Q \rangle$ .

## 3.4. Security analysis

Our analysis shows that outsourcing schemes that eliminate the simple order of encryption of tuples cannot provide reliable guarantees of confidentiality. Such information about the order often allows the enemy to accurately estimate the values of encrypted tuples. Let's start with the security analysis of the scheme used to encrypt the hypersurfaces of the index and the query in  $\hat{R}$ -trees. Then we compare the confidentiality guarantees provided by our scheme to those provided by competing schemes, especially when the enemy was able to detect partial information about the values of encrypted tuples. input: T, M output: **T**  $1 \hat{\mathbf{T}} = \emptyset$ 2 **PC** =  $\emptyset$  stack =  $\emptyset$ 3 node = T.root 4 if node  $\neq$  NULL then 5 stack.Push(node) 6 end 7 else 8 return Ø 9 end 10 while  $stack \neq \emptyset$  do 11 node = stack.Pop() // node.R denotes node's MBR 11  $[R] = Enc_Index(node.R, M)$ ) 12, if node has children then 13 for each child do 14 Save the parent-child relationship to PC 15 end 16 end 17 if node is not a leaf then 18 for each child do 19 stack.Push(child) 20 end 23 // Generate a node for  $\hat{\mathbf{T}}$ 22 onode= $\{[R]\}$ 24 else 25 Encrypt data points in  $S_R$  to obtain  $\tilde{S}_R$ 26 onode={[R],  $\tilde{S}_R$ } 27 end 28 Add onode to  $\hat{T}$ 29 end 30 Add PC to  $\hat{T}$ 31 return **T** Algorithm 3. **Â**-tree Qry Input:  $\langle Q \rangle$ ,  $\hat{T}$ 1  $\mathbf{L} = \emptyset$ 2 stack =  $\emptyset$ 3 node =  $\hat{\mathbf{T}}$ .root 4 if Xsect Index(node.[R],  $\langle Q \rangle$ ) then stack.Push(node) 6 end 7 else 8 return Ø 9 end 10 while  $stack \neq \emptyset$  do 11 node = stack.Pop() )

Table 1

Algorithm 2. Construction of R -tree input: T, M output: **Î**  $\hat{\mathbf{T}} = \emptyset$ 2 **PC** =  $\emptyset$  stack  $\neq \emptyset$ 3 node = T.root4 if node  $\neq$  NULL then 5 stack.Push(node) 6 end 7 else 8 return Ø 9 end 10 while  $stack \neq \emptyset$  do 11 node = stack.Pop() // node.R denotes node's MBR 11 [R] = Enc Index(node.R, M)) 12, if node has children then 13 for each child do 14 Save the parent-child relationship to PC 15 end 16 end 17 if node is not a leaf then 18 for each child do 19 stack.Push(child) 20 end 23 // Generate a node for  $\hat{\mathbf{T}}$ 22 onode= $\{[R]\}$ 24 else 25 Encrypt data points in  $S_R$  to obtain  $\tilde{S}_R$ 26 onode={[R],  $\tilde{S}_{R}$ } 27 end 28 Add onode to  $\hat{T}$ 29 end 30 Add PC to  $\hat{T}$ 31 return  $\hat{\mathbf{T}}$ Algorithm 3. **R**-tree Qry Input:  $\langle O \rangle$ ,  $\hat{T}$ 1  $\mathbf{L} = \emptyset$ 2 stack  $\neq \emptyset$ 3 node =  $\hat{\mathbf{T}}$ .root 4 if Xsect Index(node.[R],  $\langle Q \rangle$ ) then stack.Push(node) 6 end 7 else 8 return Ø 9 end 10 while stack  $\neq \emptyset$  do 11 node = stack.Pop() )

12 if node is a leaf then 13  $\mathbf{L} = \mathbf{L} \cup$  node 14 end 15 else 16 for each node's child do 17 if Xsect\_Index(child.[R], $\langle Q \rangle$ ) then 18 stack.Push(child) 19 end 20 end 21 end 22 end 23 return L

Encrypted database schemas. N - number of tuples, C – bouquet size. (Maximum overheads logN [2; 4] can be achieved only for one-dimensional data)

-		
Scheme	<b>Query Overhead</b>	<b>Reveals Order?</b>
Bucketization [3]	High: O(N / C)	No.
Order preserving [2]	Low: logN	Yes.
Predicate encryption [4]	Low: logN	Yes.

## 3.4.1. Encryption Security

We encrypt the hyper-rectangles of the index and the query using ASPE, which was proven to be safe for open-text attacks in [10]. Our schema stores the ASPE security properties, because it extends ASPE, but does not change the basic approach to encryption in [10]. Artificial dimensions and random asymmetric splitting still work in our scheme.

## 3.4.2. Comparison with competing schemes

We show how information about the order can be used by the enemy to output plaintext values using order statistics. As shown in tabl. 1, the operating schemes provide either efficiency or privacy protection, but not both. In this respect, bouquet scheme from [3] protects information about the order, but suffers because of the high overhead of the request. It also requires the data owner to manage the bouquet indexes. Otherwise, [2; 4] allow efficient queries, but display information about the order on the encrypted tuples.  $\hat{R}$ -tree can perform very efficient queries, hiding the order of data points in each MBR sheet.

For several reasons, we will not make a detailed comparison of our scheme with the bouquet scheme [3]. At first, [3] is not a true out-sourcing scheme, since the index is stored on the data owner's site, and not in the cloud. It also requires that all requests be made by the data owner, and this means significant requirements. Finally, the index search takes time O(N/C), which is linear in the size of the database, if we keep the size of the bouquet constant. These overheads are excessive compared to competing schemes.

Therefore, we compare the stability of our scheme with the ability of schemes in [2; 4]. This is a suitable

comparison, because R-tree achieves the same complexity of queries as these schemes. We will show that our scheme has much better stability, the advantage it has against any scheme that does not hide the ordering of tuples.

## 3.4.3. Attack Model

Let  $A_O$  denotes the detractor in schemes that reveal information for ordering, and let  $A_{\hat{R}}$  denote the

detractor in our scheme. Ranges of leaf indices of  $\hat{R}$ tree are the limiting fields for clusters of encrypted data points. The nodes of a higher level are additional clusters of bounding blocks.  $A_{\hat{R}}$  cannot see the ranges of indexes in any of the nodes  $\hat{R}$ , because they are encrypted using ASPE. Nevertheless,  $A_{\hat{R}}$  is able to study the ordering of all MBR leaf from a sufficient number of query results.  $A_{\hat{R}}$  can selectively take a half-space discriminator  $\Delta_{H_i}$  from received requests for the formation of new requests. But these requests can help him to get a list of MBR. The order of location of data points within each leaf MBR is still safe.

The aim  $A_{\hat{R}}$  is to output the values of the encrypted data points belonging to the node  $\lambda_j$  of list.  $A_{\hat{R}}$  can examine the values of plain text of some encrypted data points. Assume, that  $A_{\hat{R}}$  knows the lower and upper limits of the range in  $\lambda_j$ , and he also knows the distribution of the values of points.

To compare our schema with methods such as [2; 4], which show the order of the encrypted points, we assume that  $A_O$  also tries to output the values of the encrypted data points in  $\lambda_j$ .  $A_O$  knows the relative order of all data points, the lower and upper limits of the range in  $\lambda_j$  and distribution of points values.

## 3.4.4. Optimal evaluation criterion of the detractor

The goal of detractor is to deduce the values of the encrypted tuples. With this aim it will use a statistical evaluation, which effectiveness should be measured in terms of shown error. We will use wide Mean Square Estimation Error (MSEE), also used in [3], which is working as follows. For simplicity, we consider onedimensional case.

It is often necessary to estimate the value of a random variable Y, which is inaccessible, in terms of g(X) function, available random variable X. In our case Y is the plain text of the tuple. The detractor selects a suitable random variable X. MSEE is determined as  $\mathbf{E}[(Y-g(X))^2]$ . The simplest choice for the detractor is g(X) = c, a constant. We find the value  $c_{min}$ , which minimizes MSEE as follows. Starting from

$$\min_{c} \mathbf{E}[(Y-c)^{2}] = \min_{c} \{ \mathbf{E}[Y^{2}] - 2c \cdot \mathbf{E}(Y) + c^{2} \},\$$

we differentiate along c and establish in 0, get  $c_{min} = \mathbf{E}[Y]$ . MSEE now is  $\mathbf{E}[(Y - \mathbf{E}[Y])^2 = Var(Y)$ . Therefore, the optimal estimate for Y is  $\mathbf{E}[Y]$ , which reaches the minimum value of MSEE Var(Y).

Therefore, considering the encrypted tuple  $\tilde{y}_i$  with open text  $y_i$ , taken from the distribution, modelling by a random variable Y, the best estimation, which the detractor can make for  $y_i$ , is equal to  $\mathbf{E}[Y]$ , achieving MSEE Var(Y).

# **3.4.5.** Attacks on installation with information about the order and without it

Given the continuous range  $R = [y_s, y_e]$ , containing |R|, encrypted tuples  $(\tilde{y}_1, \tilde{y}_2, ..., \tilde{y}_{|R|})$ , both detractors  $A_O$  and  $A_{\hat{R}}$  try to show the meanings of open text  $y_s, y_e$  encrypted tuples in R. We assume that both  $A_O$ and  $A_{\hat{R}}$  know the meaning of plaintext  $y_s, y_e$  from two endpoints of the range R. Let the random variable Y corresponds to the same distribution as the plaintext values of all encrypted tuples having a density function  $f(y_i)$ . Besides,  $A_O$  knows how distribution  $f(y_i)$  of open texts  $y_i$ , as well as the order of encrypted sets  $\tilde{y}_i$ .  $A_{\hat{R}}$  know distribution  $f(y_i)$ , but not the order of encrypted tuples  $\tilde{y}_i$ .

## 3.4.5.1. Attack $A_{\hat{R}}$ (order is unknown)

Let the random variable  $Y_R$  coincides with the same distribution as the values of the plaintext of encrypted tuples in R. Using the distribution f(y),  $A_{\hat{R}}$  finds distribution  $f_{Y_R}(y)$  for  $Y_R$ . In p. 3.4.4. we have seen, that the best estimation  $A_{\hat{R}}$  for any  $\tilde{y}_i \in R$  -  $E[Y_R]$ .

## 3.4.5.2. Attack A<sub>O</sub> (the order is known)

 $A_O$  can do much better, as it knows the order  $\tilde{y}_i$ .  $A_O$  first finds  $f_{Y_R}\left(y\right)$ . Let random variable  $Y_{(k)|R}\left(y\right)$  represents the value of plaintext k of The smallest set in the range R, having distribution  $f_{(k)|R}\left(y\right)$ .  $A_O$  gets  $f_{(k)|R}\left(y\right)$ , using  $f_{Y_R}\left(y\right)$  and equation (1). Let  $\tilde{y}_{(k)}$  mean k the smallest set in R. As in p. 3.4.4, the best estimation of  $A_O$  for  $\tilde{y}_{(k)}$  open text  $y_{(k)}$  is  $E[Y_{(k)|R}]$ .

## 3.4.6. Metrics $\epsilon$ of absolute estimation error

Let  $A_{\hat{R}}$  and  $A_{O}$  evaluate the true value of plain-

text  $y_i$  for encrypted tuple  $\tilde{y}_i \in R$ , as  $y_i^{\hat{R}}$  and  $y_i^O$  correspondently. Define the Absolute estimation Error

 $\begin{array}{lll} \mbox{for} & A_{\hat{R}} & \mbox{as} & \epsilon_{y_i}^{\hat{R}} = \mid y_i - y_i^{\hat{R}} \mid & \mbox{and} & \mbox{for} & A_O & - \\ \epsilon_{y_i}^O = \mid y_i - y_i^O \mid . \mbox{ If} & \tilde{y}_{(k)} & \mbox{the smallest set in } R \ , \ \mbox{define} \\ \epsilon_{(k)}^{\hat{R}} = \mid y_{(k)} - E[Y_R] \mid & \mbox{and} & \epsilon_{(k)}^O = \mid y_{(k)} - E[Y_{(k)R}] \mid . \end{array}$ 

# Conclusions

This work represents  $\hat{R}$ -tree - hierarchical encrypted index, which can provide safe and effective

bandwidth requests over encrypted data.  $\hat{R}$ -tree hides the order of internal MBR-files for privacy protection. Our theory and empirical analysis show that revealing

order is dangerous for external data, and  $\hat{R}$ -tree has much better stability than the scheme without informa-

tion protection ordering. Also the system, realizing R-tree, having good capacity is developed.

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#### БЕЗПЕКА ЗАШИФРОВАНИХ БАЗ ДАНИХ В ХМАРІ

Сальман Рашид Уайд

Існуючі методи шифрування забезпечують або безпеку, або ефективність. Так, багато схем навіть розкривають порядок зашифрованих кортежів, що дозволяє досить точно оцінювати значення відкритого тексту. Для усунення цього недоліку в роботі представлено дерево (ієрархічний зашифрований індекс), який може бути надійно поміщений в хмару і ефективно спотворений. Ієрархічний зашифрований індекс заснований на механізмі, який був розроблений для зашифрованих запитів з використанням методу шифрування Asymmetric scalar product preserving encryption (ASPE). **Ключові слова:** шифрування запитів, шифрування баз даних, хмарні обчислення, ісрархічний індекс.

#### БЕЗОПАСНОСТЬ ЗАШИФРОВАННЫХ БАЗ ДАННЫХ В ОБЛАКЕ

Сальман Рашид Уайд

Существующие методы шифрования обеспечивают либо безопасность, либо эффективность. Так, многие схемы даже раскрывают порядок зашифрованных кортежей, что позволяет достаточно точно оценивать значения открытого текста. Для устранения этого недостатка в работе представлено дерево (иерархический зашифрованный индекс), который может быть надежно помещен в облако и эффективно искажен. Иерархический зашифрованный индекс основан на механизме, который был разработан для зашифрованных запросов с использованием метода шифрования Asymmetric scalar product preserving encryption (ASPE).

Ключевые слова: шифрование запросов, шифрование баз данных, облачные вычисления, иерархический индекс.