

## FUGLEDE – PUTNAM TYPE THEOREMS FOR EXTENSION OF $M$ -HYPONORMAL OPERATORS

### ТЕОРЕМИ ТИПУ ФУГЛЕДЕ – ПУТНАМА ДЛЯ РОЗШИРЕНЬ $M$ -ГІПОНОРМАЛЬНИХ ОПЕРАТОРІВ

We consider  $k$ -quasi- $M$ -hyponormal operator  $T \in B(\mathcal{H})$  such that  $TX = XS$  for some  $X \in B(\mathcal{K}, \mathcal{H})$  and prove the Fuglede–Putnam type theorem when adjoint of  $S \in B(\mathcal{K})$  is  $k$ -quasi- $M$ -hyponormal or dominant operators. We also show that two quasisimilar  $k$ -quasi- $M$ -hyponormal operators have equal essential spectra.

Розглянуто  $k$ -квазі- $M$ -гіпонормальний оператор  $T \in B(\mathcal{H})$  такий, що  $TX = XS$  для деякого  $X \in B(\mathcal{K}, \mathcal{H})$ , та доведено теорему типу Фугледе–Путнама, коли спряженим до  $S \in B(\mathcal{K})$  є або  $k$ -квазі- $M$ -гіпонормальний, або домінуючий оператор. Також показано, що два квазіподібні  $k$ -квазі- $M$ -гіпонормальні оператори мають однакові суттєві спектри.

**1. Introduction.** Let  $\mathcal{H}$  and  $\mathcal{K}$  be separable complex Hilbert spaces, and let  $B(\mathcal{H}, \mathcal{K})$  denote the algebra of all bounded linear operators from  $\mathcal{H}$  to  $\mathcal{K}$  (We also write  $B(\mathcal{H}) = B(\mathcal{H}, \mathcal{H})$ .) Throughout this paper, the range and the null space of an operator  $T$  will be denoted by  $\text{ran}(T)$  and  $\ker(T)$ , respectively. Let  $\overline{M}$  and  $M^\perp$  be the norm closure and the orthogonal complement of the subspace  $M$  of  $\mathcal{H}$ . The classical *Fuglede–Putnam theorem* [4] (Problem 152) asserts that if  $T \in B(\mathcal{H})$  and  $S \in B(\mathcal{K})$  are normal operators such that  $TX = XS$  for some operators  $X \in B(\mathcal{K}, \mathcal{H})$ , then  $T^*X = XS^*$ . The references [2, 6, 9, 10, 17–19] are among the various extensions of this celebrated theorem for nonnormal operators. According to [17], an operator  $T \in \mathcal{H}$  is *dominant* if

$$\text{ran}(T - \lambda I) \subseteq \text{ran}(T - \lambda I)^* \quad \text{for all } \lambda \in \mathbb{C}.$$

From [1], it is seen that this condition is equivalent to the existence of a positive constant  $M_\lambda$  such that

$$(T - \lambda I)(T - \lambda I)^* \leq M_\lambda^2 (T - \lambda I)^*(T - \lambda I)$$

for each  $\lambda \in \mathbb{C}$ . An operator  $T$  is called  $M$ -hyponormal if there is a constant  $M$  such that  $M_\lambda \leq M$  for all  $\lambda \in \mathbb{C}$ . If  $M = 1$ ,  $T$  is hyponormal. We have the following inclusion relations:

$$\{\text{hyponormal}\} \subseteq \{M\text{-hyponormal}\} \subseteq \{\text{dominant}\}.$$

Mecheri [5] introduced  $k$ -quasi- $M$ -hyponormal operators as follows. An operator  $T$  is  $k$ -quasi- $M$ -hyponormal if there exists a real positive number  $M$  such that

$$T^{*k}((T - \lambda I)(T - \lambda I)^*)T^k \leq T^{*k}(M^2(T - \lambda I)^*(T - \lambda I))T^k$$

for all  $\lambda \in \mathbb{C}$ , where  $k$  is a natural number. Evidently,

$$\{M\text{-hyponormal}\} \subseteq \{k\text{-quasi-}M\text{-hyponormal}\}.$$

For  $T \in B(\mathcal{H})$  and  $S \in B(\mathcal{K})$ , we say that *FP-theorem* holds for the pair  $(T, S)$  if  $TX = XS$  implies  $T^*X = XS^*$ ,  $\overline{\text{ran}(X)}$  reduces  $T$ , and  $\ker(X)^\perp$  reduces  $S$ , the restrictions  $T|_{\overline{\text{ran}(X)}}$  and  $S|_{\ker(X)^\perp}$  are unitarily equivalent normal operators for all  $X \in B(\mathcal{K}, \mathcal{H})$ . We say that an operator  $S$  is *quasiaffine transform* of an operator  $T$  if there exists an injective operator  $X$  with dense range such that  $TX = XS$ . Two operators  $T \in B(\mathcal{H})$  and  $S \in B(\mathcal{H})$  are *quasisimilar* if there exist quasiaffinities  $X \in B(\mathcal{H}, \mathcal{K})$  and  $Y \in B(\mathcal{K}, \mathcal{H})$  such that  $XT = SY$  and  $YS = TY$ . In general quasisimilarity need not preserve the spectrum and essential spectrum. However, in special classes of operators quasisimilarity preserves spectra. For instance, it is well-known that two quasisimilar hyponormal operators have equal spectrum and equal essential spectrum.

Recall that an operator  $T \in B(\mathcal{H})$  is *k-quasihyponormal* if  $T^{*k}(T^*T - TT^*)T^k \geq 0$ , where  $k$  is a positive integer and an operator  $T \in B(\mathcal{H})$  is said to be  $(p, k)$ -quasihyponormal operators if  $T^{*k}((T^*T)^p - (TT^*)^p)T^k \geq 0$ , where  $k$  is a positive integer and  $0 < p \leq 1$  [3, 19]. Recently, Tanahashi, Patel and Uchiyama [19] found some extensions of Fuglede – Putnam theorems involving  $(p, k)$ -quasihyponormal, dominant, and spectral operators.

Recall [8] that an operator  $T \in B(\mathcal{H})$  is said to have the *single-valued extension property* (SVEP) if for every open subset  $D$  of  $\mathbb{C}$  and any analytic function  $f : D \rightarrow \mathcal{H}$  such that  $(T - \lambda)f(\lambda) \equiv 0$  on  $D$ , it results  $f(\lambda) \equiv 0$  on  $D$ . We say that a Hilbert space operator satisfies *Bishop property* ( $\beta$ ) if, for every open subset  $D$  of  $\mathbb{C}$  and every sequence  $f_n : D \rightarrow \mathcal{H}$  of analytic functions with  $(T - \lambda)f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $D$ ,  $f_n(\lambda)$  converges uniformly to 0 in norm on compact subsets of  $D$ . It is well-known that

$$\text{Bishop property}(\beta) \Rightarrow \text{SVEP}$$

(see [8] for more information). Mecheri [5] proved that  $k$ -quasi- $M$ -hyponormal operators satisfies Bishop property ( $\beta$ ). Recently, some spectral properties of  $k$ -quasi- $M$ -hyponormal operators has been studied by Zuo and Mecheri [22]. In the present note, we seek some extensions of Fuglede – Putnam type theorems involving  $k$ -quasi- $M$ -hyponormal operator and dominant operators. Let  $U$  be an open set in  $\mathbb{C}$ . Stampfli [16] investigated the equation  $(T - \lambda I)f(\lambda) \equiv x$  for some non-zero  $x \in \mathcal{H}$  and  $f : U \rightarrow \mathcal{H}$  in an effort to discover necessary and or sufficient condition for analyticity of  $f$  when  $T$  is a dominant operator. In this paper, we show that if  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal, if  $0 \notin \delta \subseteq \mathbb{C}$  be closed, and if there exists a bounded function  $f : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}$  such that  $(T - \lambda I)f(\lambda) \equiv x$  for some non-zero  $x \in \mathcal{H}$ , then  $f$  is analytic at every non zero point and hence  $f$  has analytic extension everywhere on  $\mathbb{C} \setminus \delta$ . In Section 3, we show that if  $T, S \in B(\mathcal{H})$  are quasisimilar  $k$ -quasi- $M$ -hyponormal operators, then they have equal spectrum.

**2. Fuglede – Putnam type theorem.** Throughout this paper we would like to present some known results as propositions which will be used in the sequel.

**Proposition 2.1** [5]. *Let  $T$  be  $k$ -quasi- $M$ -hyponormal operator,  $\text{ran}(T^k)$  be not dense and*

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \quad \text{on} \quad \mathcal{H} = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k}).$$

*Then  $T_1 = T|_{\overline{\text{ran}(T^k)}}$  is  $M$ -hyponormal,  $T_3^k = 0$  and  $\sigma(T) = \sigma(T_1) \cup \{0\}$ .*

**Proposition 2.2** [15]. *Let  $T \in B(\mathcal{H})$  and let  $S \in B(\mathcal{K})$ . Then the following assertions are equivalent:*

- (i) If  $TX = XS$  where  $X \in B(\mathcal{K}, \mathcal{H})$ , then  $T^*X = XS^*$ .
- (ii) If  $TX = XS$  where  $X \in B(\mathcal{K}, \mathcal{H})$ , then  $\overline{\text{ran}(X)}$  reduces  $T$ , and  $\ker(X)^\perp$  reduces  $S$ , the restrictions  $T|_{\overline{\text{ran}(X)}}$  and  $S|_{\ker(X)^\perp}$  are normal.

**Proposition 2.3** [10]. *Let  $T$  and  $S$  be  $M$ -hyponormal operators and  $TX = XS^*$ . Then*

- (i)  $\overline{\text{ran}(X)}$  reduces  $T$  and  $\ker(X)$  reduces  $S$ .
- (ii)  $T|_{\overline{\text{ran}(X)}}$  and  $S^*|_{\ker(X)^\perp}$  are unitarily equivalent normal operators.

It is well-known that a normal part of hyponormal is reducing. This result remains true for dominant operators.

**Proposition 2.4** [14, 17, 21]. *Let  $T \in B(\mathcal{H})$  be dominant and  $\mathcal{M}$  be an invariant subspace of  $T$ . Then:*

- (i) *The restriction  $T|_{\mathcal{M}}$  is dominant.*
- (ii) *If the restriction  $T|_{\mathcal{M}}$  is normal, then  $\mathcal{M}$  reduces  $T$ .*

In the following lemma we prove, a normal part of a  $k$ -quasi- $M$ -hyponormal operator is reducing.

**Lemma 2.1.** *If the restriction  $T|_{\mathcal{M}}$  of the  $k$ -quasi- $M$ -hyponormal operator  $T \in B(\mathcal{H})$  to an invariant subspace  $\mathcal{M}$  is injective and normal, then  $\mathcal{M}$  reduces  $T$ .*

**Proof.** Let  $T$  be  $k$ -quasi- $M$ -hyponormal and  $T_1 = T|_{\mathcal{M}}$  is injective and normal. Decompose  $T$  on  $\mathcal{H} = \mathcal{M} \oplus \mathcal{M}^\perp$  as follows:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}.$$

The following inclusion relation holds by the  $k$ -quasi- $M$ -hyponormality of  $T$  and Theorem 1 of [1]:

$$\text{ran}(T^{*k}(T - \lambda I)) \subset \text{ran}(T^{*k}(T^* - \bar{\lambda}I)) \subset \text{ran}(T^* - \bar{\lambda}I)$$

for  $\lambda \in \mathbb{C}$ . Then, for any arbitrary vector  $y \in \mathcal{M}^\perp$ ,  $T_1^{*k}T_2y = (T_1^* - \bar{\lambda})u_\lambda$  for some  $u_\lambda \in \mathcal{M}$ . Choose  $v_\lambda$  such that  $(T_1^* - \bar{\lambda})u_\lambda = (T_1 - \lambda)v_\lambda$ . It follows that  $T_1^{*k}T_2y = (T_1 - \lambda)v_\lambda$  and so

$$T_1^{*k}T_2y \in \bigcap_{\lambda \in \mathbb{C}} \text{ran}(T_1 - \lambda I).$$

Then, by [11] (Theorem 1),  $T_1^{*k}T_2y = 0$  and hence  $T_2y = 0$ . Therefore,  $T_2 = 0$ .

**Remark 2.1.** The condition  $T|_{\mathcal{M}}$  is injective in Lemma 2.1 is indispensable because  $\ker(T)$  for  $k$ -quasi- $M$ -hyponormal operator  $T$  is not always reducing.

In [19], the authors considered the situation  $S$  and  $T^*$  are  $(p, k)$ -quasihyponormal operators and proved Fuglede–Putnam theorem for  $(S, T)$  if either  $S$  or  $T$  is injective. Now we study Fuglede–Putnam theorem for the case that  $T$  and  $S^*$  are  $k$ -quasi- $M$ -hyponormal operators with the condition that either  $T$  or  $S^*$  is injective.

**Theorem 2.1.** *Let  $T \in B(\mathcal{H})$  and  $S^* \in B(\mathcal{K})$  be  $k$ -quasi- $M$ -hyponormal operators. If either  $T$  or  $S^*$  is injective, then Fuglede–Putnam theorem holds for  $(T, S)$ .*

**Proof.** Suppose  $T$  and  $S^*$  are  $k$ -quasi- $M$ -hyponormal operators and  $TX = XS$  for any operator  $X \in B(\mathcal{K}, \mathcal{H})$ . Since  $\overline{\text{ran}(X)}$  is invariant under  $T$  and  $\ker(X)^\perp$  is invariant under  $S^*$ , we decompose  $T$ ,  $S$  and  $X$  into

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \quad \text{on} \quad \mathcal{H} = \overline{\text{ran}(X)} \oplus \overline{\text{ran}(X)}^\perp,$$

$$S = \begin{pmatrix} S_1 & 0 \\ S_2 & S_3 \end{pmatrix} \quad \text{on } \mathcal{K} = \ker(X)^\perp \oplus \ker(X),$$

and

$$X = \begin{pmatrix} X_1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{on } \ker(X)^\perp \oplus \ker(X) \rightarrow \overline{\text{ran}(X)} \oplus \overline{\text{ran}(X)}^\perp,$$

where  $T_1$  and  $S_1^*$  are  $k$ -quasi- $M$ -hyponormal operators by Proposition 2.1, and

$$X_1 : \ker(X)^\perp \rightarrow \overline{\text{ran}(X)}$$

is injective with dense range.

From  $TX = XS$ , we have

$$T_1 X_1 = X_1 S_1. \quad (2.1)$$

First consider the case where  $T$  is injective. Clearly,  $T_1$  is injective. It is not difficult to show from (2.1) that  $S_1$  is injective or equivalently,  $\text{ran}(S_1^*)$  is dense. Incidentally,  $S_1^*$  turns out to be a  $M$ -hyponormal operator. In particular,  $\ker(S_1^*) \subset \ker(S_1)$  and hence  $\ker(S_1^*) = 0$ . From (2.1), it is easy to see that  $T_1^*$  is injective, thereby  $T_1$  is  $M$ -hyponormal. Next consider the case that  $S^*$  is injective. Then  $S_1^*$  is injective and so  $T_1^*$  is injective by (2.1). Obviously,  $T_1$  is an injective  $M$ -hyponormal operator, and, by (2.1),  $S_1$  is injective. Therefore,  $S_1^*$  is  $M$ -hyponormal. Ultimately, if either  $T$  or  $S^*$  is injective, then  $T_1$  and  $S_1^*$  are both  $M$ -hyponormal operators. Then, by Propositions 2.2 and 2.3 and (2.1), we obtain

$$T_1^* X_1 = X_1 S_1^*$$

and  $T_1, S_1$  are normal operators. Since  $T_1$  and  $S_1$  are injective,  $T_2 = S_2 = 0$  by Lemma 2.1. Hence,

$$T^* X = T_1^* X_1 = X_1 S_1^* = X S^*.$$

The rest of the proof follows from Proposition 2.2.

**Corollary 2.1.** *Let  $T \in B(\mathcal{H})$  and  $S^* \in B(\mathcal{K})$  be  $k$ -quasi- $M$ -hyponormal operators with reducing kernels. Then Fuglede–Putnam theorem holds for  $(T, S)$ .*

**Proof.** By hypothesis, we can write  $T = T_1 \oplus T_2$  on  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$  and  $S = S_1^* \oplus S_2^*$  with respect to  $\mathcal{K} = \mathcal{K}_1 \oplus \mathcal{K}_2$ , where  $T_1$  and  $S_1^*$  are normal parts and  $T_2$  and  $S_2^*$  are pure parts. Let

$$X = \begin{pmatrix} X_1 & X_2 \\ X_3 & X_4 \end{pmatrix} \quad \text{on } \mathcal{K}_1 \oplus \mathcal{K}_2 \rightarrow \mathcal{H}_1 \oplus \mathcal{H}_2.$$

From  $TX = XS$ , we have

$$\begin{pmatrix} T_1 X_1 & T_1 X_2 \\ T_2 X_3 & T_2 X_4 \end{pmatrix} = \begin{pmatrix} X_1 S_1 & X_2 S_2 \\ X_3 S_1 & X_4 S_2 \end{pmatrix}.$$

The underlying kernel conditions ensures of  $T_2$  and  $S_2^*$  are injective. The operator  $T_2$  is injective  $k$ -quasi- $M$ -hyponormal and  $S_1$  normal. From the above matrix relation, we obtain  $T_2 X_3 = X_3 S_1$ . Then by applying Theorem 2.1, we get  $T_2^* X_3 = X_3 S_1^*$ ,  $\overline{\text{ran}(X_3)}$  reduces  $T_2$  and  $T_2|_{\overline{\text{ran}(X_3)}}$  is normal and so  $X_3 = 0$ . In a similar manner we have  $X_2 = 0$  from  $T_1 X_2 = X_2 S_2$  and  $X_4 = 0$  from  $T_2 X_4 = X_4 S_2$ . Since  $T_1$  and  $S_1$  are normal and since  $T_1 X_1 = X_1 S_1$ , required result follows from classical Fuglede–Putnam theorem and Proposition 2.2.

**Proposition 2.5** [21]. *If  $T^* \in B(\mathcal{H})$  is  $M$ -hyponormal,  $S \in B(\mathcal{K})$  is dominant, and  $XT = SX$  for  $X \in B(\mathcal{H}, \mathcal{K})$ , then  $XT^* = S^*X$ .*

Now we consider the situation that where  $T$  is a  $k$ -quasi- $M$ -hyponormal operator and  $S^*$  is a dominant operator.

**Theorem 2.2.** *Let  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal and  $S^* \in B(\mathcal{K})$  be dominant. If either  $T$  or  $S^*$  is injective, then Fuglede–Putnam theorem holds for  $(T, S)$ .*

**Proof.** Suppose that  $T \in B(\mathcal{H})$  is  $k$ -quasi- $M$ -hyponormal and  $S^* \in B(\mathcal{K})$  is dominant such that  $TX = XS$  for  $X \in B(\mathcal{K}, \mathcal{H})$ . Since  $\overline{\text{ran}(X)}$  is invariant under  $T$  and  $\ker(X)^\perp$  is invariant under  $S^*$ , we can write  $T, S$  and  $X$  as follows:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \quad \text{on } \mathcal{H} = \overline{\text{ran}(X)} \oplus \overline{\text{ran}(X)}^\perp,$$

$$S = \begin{pmatrix} S_1 & 0 \\ S_2 & S_3 \end{pmatrix} \quad \text{on } \mathcal{K} = \ker(X)^\perp \oplus \ker(X)$$

and

$$X = \begin{pmatrix} X_1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{on } \ker(X)^\perp \oplus \ker(X) \rightarrow \overline{\text{ran}(X)} \oplus \overline{\text{ran}(X)}^\perp.$$

From  $TX = XS$ , we have

$$T_1X_1 = X_1S_1, \tag{2.2}$$

where  $T_1$  is  $k$ -quasi- $M$ -hyponormal by Proposition 2.1,  $S_1^*$  is dominant by Proposition 2.4 and

$$X_1 : \ker(X)^\perp \rightarrow \overline{\text{ran}(X)}$$

is injective with dense range. First assume that  $T$  is injective. Then  $T_1$  is injective. From (2.1),  $S_1$  is injective. Since  $S_1^*$  is dominant, it turns out to be injective. By (2.2), we have that  $T_1^*$  is injective. Ultimately,  $T_1$  is  $M$ -hyponormal. Applying Proposition 2.5 to (2.2), we obtain

$$T_1^*X_1 = X_1S_1^*$$

and  $T_1, S_1$  are normal operators. Since  $T_1$  injective,  $T_2 = 0$  by Lemma 2.1. Also  $S_2 = 0$  by Proposition 2.4. Next assume  $S^*$  is injective. Then  $S_1^*$  is injective. Then by (2.2)  $T_1^*$  is injective. Ultimately,  $T_1$  turns out to be  $M$ -hyponormal. Conclude as before that

$$T_1^*X_1 = X_1S_1^*$$

and  $T_1, S_1$  are injective normal operators and so  $T_2 = S_2 = 0$ . Hence,

$$T^*X = T_1^*X_1 = X_1S_1^* = XS^*.$$

The rest of the proof follows from Proposition 2.2.

**Corollary 2.2.** *Let  $T \in B(\mathcal{H})$  be dominant and  $S^* \in B(\mathcal{K})$  be  $k$ -quasi- $M$ -hyponormal operator. If either  $T$  or  $S^*$  is injective, then Fuglede–Putnam theorem holds for  $(T, S)$ .*

**Proof.** From  $TX = XS$ , we have  $S^*X^* = X^*T^*$ . Applying Theorem 2.2, it follows that  $SX^* = X^*T$ . The rest of the proof follows from Proposition 2.2.

**Corollary 2.3.** *Let  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal operator with reducing kernel and  $S^* \in B(\mathcal{K})$  be dominant operator such that  $TX = XS$  for  $X \in B(\mathcal{K}, \mathcal{H})$ . Then Fuglede–Putnam theorem holds for  $(T, S)$ .*

**Proof.** Let  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal with reducing kernel and  $S^* \in B(\mathcal{K})$  be dominant. We decompose  $T$ ,  $S$  and  $X$  as follows:

$$T = \begin{pmatrix} T_1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{on } \mathcal{H} = \ker(T)^\perp \oplus \ker(T)$$

and

$$S = \begin{pmatrix} S_1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{on } \mathcal{K} = \ker(S)^\perp \oplus \ker(S).$$

Let

$$X = \begin{pmatrix} X_1 & X_2 \\ X_3 & X_4 \end{pmatrix} \quad \text{on } \ker(S)^\perp \oplus \ker(S) \rightarrow \ker(T)^\perp \oplus \ker(T).$$

From  $TX = XS$ , we have

$$\begin{pmatrix} T_1X_1 & T_1X_2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} X_1S_1 & 0 \\ X_3S_1 & 0 \end{pmatrix}.$$

The equations  $T_1X_2 = 0$  and  $X_3S_1 = 0$  yields  $X_2 = X_3 = 0$  because  $T_1$  and  $S_1^*$  are injective. Applying Theorem 2.2 to  $T_1X_1 = X_1S_1$ , it follows  $T_1^*X_1 = X_1S_1^*$ .

Stampfli and Wadhwa [17] proved if  $T$  be dominant and  $S$  be a normal operator and if  $TX = XS$  where  $X \in B(\mathcal{H})$  has dense range, then  $T$  is a normal operator (see [17], Theorem 1). This remarkable result for  $k$ -quasihyponormal operators has been studied by Gupta and Ramanujan [3]. Now we show this result remains true for  $k$ -quasi- $M$ -hyponormal operators.

**Theorem 2.3.** *Let  $T$  be a  $k$ -quasi- $M$ -hyponormal and  $S$  a normal operator. If  $S$  is quasiaffine transform of  $T$ , then  $T$  is a normal operator unitarily equivalent to  $S$ .*

**Proof.** Let  $T$  be  $k$ -quasi- $M$ -hyponormal. By Proposition 2.1, decompose  $T$  on  $\mathcal{H} = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$  as follows:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix},$$

where  $T_1 = T|_{\overline{\text{ran}(T^k)}}$  is  $M$ -hyponormal and  $T_3^k = 0$ . Let  $S_1 = S|_{\overline{\text{ran}(S^k)}}$ . Decompose

$$S = \begin{pmatrix} S_1 & 0 \\ 0 & 0 \end{pmatrix}.$$

Obviously,  $S_1$  is normal. Let  $X_1 = X|_{\overline{\text{ran}(S^k)}}$ . Then

$$X_1 : \overline{\text{ran}(S^k)} \rightarrow \overline{\text{ran}(T^k)}$$

is injective and has dense range.

From  $TX = XS$ , we have

$$T_1X_1 = X_1S_1.$$

Since  $T_1$  is  $M$ -hyponormal and  $S_1$  is normal, it follows from [17] (Theorem 1) that  $T_1$  is normal operator unitarily equivalent to  $S_1$ . Consequently,  $\overline{\text{ran}(T^k)}$  reduces  $T$  and so  $T_2 = 0$  by Lemma 2.1. Since  $X^*(\ker(T^{*k})) \subset \ker(S^{*k}) = \ker(S^*)$ ,

$$X^*T_3^*x = X^*T^*x = S^*X^* = 0$$

for each  $x \in \ker(T^{*k})$ . Since  $X$  has dense range,  $X^*$  is one-to-one. Therefore,  $T_3^*x = 0$  for each  $x \in \ker(T^{*k})$ . Hence,  $T_3 = 0$  and so  $T = \begin{pmatrix} T_1 & 0 \\ 0 & 0 \end{pmatrix}$  is normal.

**Proposition 2.6** [16]. *Let  $T \in B(\mathcal{H})$  be dominant and  $\delta \subseteq \mathbb{C}$  be closed. If there exists a bounded function  $f(z) : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}$  such that  $(T - zI)f(z) \equiv x$  for some non-zero  $x \in \mathcal{H}$ , then  $f(z)$  is analytic on  $\mathbb{C} \setminus \delta$ .*

The above result proved for hyponormal operators by Radjabalipour [13]. This result for  $k$ -quasihyponormal with a condition  $0 \notin \delta$  and its consequences has been studied by Gupta [2]. In the following theorem, we show this result hold true in the case of  $k$ -quasi- $M$ -hyponormal operators.

**Theorem 2.4.** *Let  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal and  $0 \notin \delta \subseteq \mathbb{C}$  be closed. If there exists a bounded function  $f(\lambda) : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}$  such that  $(T - \lambda I)f(\lambda) \equiv x$  for some non-zero  $x \in \mathcal{H}$ , then  $f$  is analytic at every non-zero point and hence  $f$  has analytic extension everywhere on  $\mathbb{C} \setminus \delta$ .*

**Proof.** Suppose that  $T$  is  $k$ -quasi- $M$ -hyponormal. By Proposition 2.1, decompose  $T$  on  $\mathcal{H} = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$  as follows:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix},$$

where  $T_1 = T|_{\overline{\text{ran}(T^k)}}$  is  $M$ -hyponormal and  $T_3^k = 0$ .

Let  $f(\lambda) = f_1(\lambda) \oplus f_2(\lambda)$  and  $x = x_1 \oplus x_2$  are the decomposition of  $f$  and  $x$ , respectively. Then

$$(T_1 - \lambda I)f_1(\lambda) + T_2f_2(\lambda) \equiv x_1,$$

$$(T_3 - \lambda I)f_2(\lambda) \equiv x_2.$$

Since  $T_3^k = 0$  and  $0 \notin \delta$ ,  $f_2(\lambda) = (T_3 - \lambda I)^{-1}x_2$  ( $\lambda \neq 0$ ) can be extended to a bounded entire function. Since  $k$ -quasi- $M$ -hyponormal operators satisfies single valued extension property, we conclude  $x_2 = 0$  (see [8], Proposition 1.2.16 9(f)). Hence  $f_2(\lambda) = 0$ . Therefore, for all  $\lambda \notin \delta$ ,

$$(T_1 - \lambda I)f(\lambda) \equiv x_1.$$

$M$ -hyponormality of  $T_1$  ensures  $f_1(\lambda)$  is analytic at every non zero point and has analytic extension every where on  $\mathbb{C} \setminus \delta$  by Proposition 2.6.

If  $T$  and  $T^*$  are  $M$ -hyponormal, then  $T$  is normal [14]. Gupta [2] proved if  $T$  and  $T^*$  are  $k$ -quasihyponormal and  $T$  is injective, then  $T$  is normal. Now we establish a similar result for  $k$ -quasi- $M$ -hyponormal operators.

**Corollary 2.4.** *Let  $T$  be dominant or  $k$ -quasi- $M$ -hyponormal and  $S^*$  be  $k$ -quasi- $M$ -hyponormal. If either  $T$  or  $S$  is injective and  $S$  is a quasilinear transform of  $T$ , then  $T$  and  $S$  are unitarily equivalent normal operators. In particular, if  $T, T^*$  are  $k$ -quasi- $M$ -hyponormal and  $T$  is injective, then  $T$  is normal.*

**Proof.** Let  $T$  be dominant or  $k$ -quasi- $M$ -hyponormal and  $S^*$  be  $k$ -quasi- $M$ -hyponormal. Since  $S^*$  is  $k$ -quasi- $M$ -hyponormal, there exists a real positive number  $M$  such that  $\|(S - \lambda I)S^{*k}\| \leq M\|(S - \lambda I)^*S^{*k}\|$ . Therefore,

$$S^k(S - \lambda I)^*(S - \lambda I)S^{*k} \leq M(S - \lambda I)(S - \lambda I)^*.$$

Applying [14] (Theorem 2), it follows that

$$(S - \lambda)(S - \lambda)^* \geq c^2|(SS^* - S^*S)S^k|^2$$

for some  $c > 0$ , where  $|\cdot|$  denote the positive part of operator. If  $S^k(SS^* - S^*S) \neq 0$ , then by [12] (Theorem 1) there exists a bounded function  $f(\lambda) : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}$  such that  $(S - \lambda I)f(\lambda) \equiv x$  for some non-zero  $x \in \mathcal{H}$  and so

$$(T - \lambda I)Xf(\lambda) \equiv Xx.$$

If  $T$  is  $k$ -quasi- $M$ -hyponormal, then, by Theorem 2.4, we have  $Xx = 0$ . If  $T$  is dominant, then we obtain  $Xx = 0$  by Proposition 2.6. Ultimately,  $x = 0$ , a contradiction. Therefore,

$$S^k(SS^* - S^*S) = 0.$$

Since  $S$  is a quasilinear transform of  $T$ ,  $TX = XS$  for injective operator  $X \in B(\mathcal{H})$ . If  $T$  is injective, then  $S$  is injective, Since  $S^k(SS^* - S^*S) = 0$ ,  $S$  is normal. Then the required result follows by Theorem 2.3.

Spectral manifold (analytic), denoted by  $X_T(\delta)$ , of an operator  $T \in B(\mathcal{H})$  is defined as follows:

$$X_T(\delta) = \{x \in \mathcal{H} : (T - \lambda I)f(\lambda) \equiv x \text{ for some analytic function } f(\lambda) : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}\}.$$

If a closed subspace  $\mathcal{M}$  of  $\mathcal{H}$  is said to be hyperinvariant of  $T$  if  $\mathcal{M}$  is invariant under every operator which commutes with  $T$ .

From Theorem 2.4,  $X_T(\delta) \neq \{0\}$  for  $k$ -quasi- $M$ -hyponormal operators and it is known that  $k$ -quasi- $M$ -hyponormal operators satisfies single valued extension property. The above results yields the following result by the method of [13] (Proposition 2).

**Corollary 2.5.** *Let  $T \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal and  $0 \notin \delta \subseteq \mathbb{C}$  be closed. If there exists a bounded function  $f : \mathbb{C} \setminus \delta \rightarrow \mathcal{H}$  such that  $(T - \lambda I)f(\lambda) \equiv x$  for some non-zero  $x \in \mathcal{H}$ , then  $T$  has non-zero hyperinvariant subspace  $\mathcal{M}$  with  $\sigma(T|_{\mathcal{M}}) \subseteq \delta$ . In particular,  $\mathcal{M}$  is a nontrivial invariant subspace of  $T$  if  $\delta$  is proper subset of  $\sigma(T)$ .*

**3. Quasisimilarity.** Equality of spectra of quasisimilar  $k$ -quasihyponormal operators has been proved in [3] by Gupta and Ramanujan. In Theorem 3.1, we show that spectrum of quasisimilar  $k$ -quasi- $M$ -hyponormal operators are same. Recall, a subspace  $\mathcal{M}$  of  $\mathcal{H}$  is called *spectral maximal space* for  $T$  if  $\mathcal{M}$  contains every invariant subspace  $\mathcal{C}$  of  $T$  for which  $\sigma(T|_{\mathcal{C}}) \subset \sigma(T|_{\mathcal{M}})$ . An operator  $T \in B(\mathcal{H})$  is said to be decomposable if for any finite open covering  $\{U_1, U_2, \dots, U_n\}$  of spectrum of  $T$ , there exist spectral maximal subspaces  $\mathcal{M}_1, \mathcal{M}_2, \dots, \mathcal{M}_n$  of  $T$  such that

$$(a) \quad \mathcal{H} = \mathcal{M}_1 + \mathcal{M}_2 + \dots + \mathcal{M}_n$$



and

(b)  $\sigma(T|_{\mathcal{M}_i}) \subset U_i$  for  $i = 1, 2, \dots, n$ .

We say that an operator  $T$  is *subdecomposable operator* if it is the restriction of a decomposable operator to its invariant space (see [8]). It is well-known that  $T$  is decomposable if and only if  $T$  has Bishop property  $(\beta)$ . The following result of Yang [20] is crucial to our purpose. It is known that two quasisimilar  $M$ -hyponormal operators have equal spectrum.

**Proposition 3.1** ([20], Corollary 2.2). *Let  $S \in B(\mathcal{H})$  and  $T \in B(\mathcal{K})$  be two quasisimilar subdecomposable operators. Then  $\sigma(T) = \sigma(S)$ .*

**Theorem 3.1.** *If  $k$ -quasi- $M$ -hyponormal operators  $T, S \in B(\mathcal{H})$  are quasisimilar, then they have equal spectrum.*

**Proof.** Let  $T, S \in B(\mathcal{H})$  be  $k$ -quasi- $M$ -hyponormal operators. From [5],  $T$  and  $S$  satisfies Bishop property  $(\beta)$  and hence  $T$  and  $S$  are subdecomposable operators. Then, by Proposition 3.1, it follows that spectrum of  $T$  and  $S$  are equal.

Two operators  $T \in B(\mathcal{H})$  and  $S \in B(\mathcal{K})$  are densely similar if there exist  $X \in B(\mathcal{H}, \mathcal{K})$  and  $Y \in B(\mathcal{K}, \mathcal{H})$  such that  $XT = SX$  and  $YS = TY$ , and are with dense ranges.

**Theorem 3.2.** *If  $k$ -quasi- $M$ -hyponormal operators  $T, S \in B(\mathcal{H})$  are densely similar, then they have equal essential spectrum.*

**Proof.** Since  $T$  and  $S$  are  $k$ -quasi- $M$ -hyponormal operators, both  $T$  and  $S$  satisfies Bishop property  $(\beta)$ . Then, by applying [8] (Theorem 3.7.13), it follows that essential spectrum of  $T$  and  $S$  are equal.

The following result is due to Yang [20].

**Proposition 3.2** ([20], Theorem 2.10). *Let  $S \in B(\mathcal{H})$  and  $T \in B(\mathcal{K})$  be two quasisimilar  $M$ -hyponormal operators. Then  $\sigma_e(T) = \sigma_e(S)$ .*

Equality of essential spectrum of quasisimilar  $(p, k)$  quasihyponormal operators has been investigated by Kim and Kim [7]. Let  $M_Q = \begin{pmatrix} S & Q \\ 0 & T \end{pmatrix}$  is an  $2 \times 2$  upper-triangular operator matrix acting on the Hilbert space  $\mathcal{H} \oplus \mathcal{K}$  and let  $\sigma_e(T)$  denote the essential spectrum of  $T$  in  $B(\mathcal{H})$ .

Now we prove two quasisimilar  $k$ -quasi- $M$ -hyponormal operators have equal essential spectra. The following result is due to Kim and Kim [7].

**Proposition 3.3** [7]. *Let  $\sigma_e(S) \cap \sigma_e(T)$  has no interior points. Then, for every  $Q \in B(\mathcal{K}, \mathcal{H})$ ,*

$$\sigma_e(M_Q) = \sigma_e(S) \cup \sigma_e(T). \tag{3.1}$$

**Theorem 3.3.** *If  $k$ -quasi- $M$ -hyponormal operators  $T, S \in B(\mathcal{H})$  are quasisimilar, then they have equal essential spectrum.*

**Proof.** Let  $T, S \in B(H)$  be quasisimilar  $k$ -quasi- $M$ -hyponormal operators. Then there exist quasiaffinities  $X$  and  $Y$  such that  $XT = SX$  and  $YS = TY$ . By Proposition 2.1, decompose  $T$  and  $S$  as follows:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \quad \text{on} \quad \mathcal{H} = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$$

and

$$S = \begin{pmatrix} S_1 & S_2 \\ 0 & S_3 \end{pmatrix} \quad \text{on} \quad \mathcal{H} = \overline{\text{ran}(S^k)} \oplus \ker(S^{*k}),$$

where  $T_1 = T|_{\overline{\text{ran}(T^k)}}$ ,  $S_1 = T|_{\overline{\text{ran}(S^k)}}$  are  $M$ -hyponormal operators,  $\sigma(T) = \sigma(T_1) \cup \{0\}$  and  $\sigma(S) = \sigma(S_1) \cup \{0\}$ . Since quasisimilar  $M$ -hyponormal operators, have same essential spectrum (see Proposition 3.2), in view of Propositions 2.1 and 3.3, it is enough to show that domain of  $T_3$  is  $\{0\}$  if and only if domain of  $S_3$  is  $\{0\}$ . Since  $XT = SX$ ,  $XT^k = S^kX$ . Let  $0 \neq x \in H$  such that  $T^{*k}x = 0$ . Then, by the equality  $XT^k = S^kX$ , we have  $S^{*k}Y^* = 0$ . Since  $Y^*$  is one-to-one, we get that domain of  $S_3$  is  $\{0\}$  implies domain of  $T_3$  is  $\{0\}$ . By a similar argument as above using the equality  $YS = TY$  we obtain domain of  $T_3$  is  $\{0\}$  implies domain of  $S_3$  is  $\{0\}$ .

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Received 03.02.20